



GENERAL ASSEMBLY

COMMONWEALTH OF KENTUCKY

2012 REGULAR SESSION

HOUSE BILL NO. 90

MONDAY, FEBRUARY 13, 2012

The following bill was reported to the Senate from the House and ordered to be printed.

RECEIVED AND FILED
DATE April 11, 2012
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ALISON LUNDERGAN GRIMES
SECRETARY OF STATE
COMMONWEALTH OF KENTUCKY
BY [Signature]

1 AN ACT relating to election reports.

2 ***Be it enacted by the General Assembly of the Commonwealth of Kentucky:***

3 ➔Section 1. KRS 121.120 is amended to read as follows:

4 (1) The registry may:

5 (a) Require by special or general orders, any person to submit, under oath, any
6 written reports and answers to questions as the registry may prescribe;

7 (b) Administer oaths or affirmations;

8 (c) Require by subpoena, signed by the chairman, the attendance and testimony of
9 witnesses and the production of all documentary evidence, excluding
10 individual and business income tax records, relating to the execution of its
11 duties;

12 (d) In any proceeding or investigation, to order testimony to be taken by
13 deposition before any person who is designated by the registry and has the
14 power to administer oaths and, in those instances, to compel testimony and the
15 production of evidence in the same manner as authorized under paragraph (c);

16 (e) Initiate, through civil actions for injunctive, declaratory, or other appropriate
17 relief, defend, or appeal any civil action in the name of the registry to enforce
18 the provisions of this chapter through its legal counsel;

19 (f) Render advisory opinions under KRS 121.135;

20 (g) Promulgate administrative regulations necessary to carry out the provisions of
21 this chapter;

22 (h) Conduct investigations and hearings expeditiously, to encourage voluntary
23 compliance, and report apparent campaign finance law violations to the
24 appropriate law enforcement authorities;

25 (i) Petition any court of competent jurisdiction to issue an order requiring
26 compliance with an order or subpoena issued by the registry. Any failure to
27 obey the order of the court may be punished by the court as contempt; and

- 1 (j) Conduct random audits of receipts and expenditures of committees which
2 have filed registration papers with the registry pursuant to KRS 121.170.
- 3 (2) No person shall be subject to civil liability to any person other than the registry or
4 the Commonwealth for disclosing information at the request of the registry.
- 5 (3) The registry may appoint a full-time executive director, legal counsel, and an
6 accountant for auditing purposes, all of whom shall serve at the pleasure of the
7 registry. The registry may also appoint such other employees as are necessary to
8 carry out the purposes of this chapter. All requests for personnel appointments shall
9 be forwarded by the registry directly to the secretary of the Personnel Cabinet and
10 shall be subject to his review and certification only.
- 11 (4) The registry shall adopt official forms and perform other duties necessary to
12 implement the provisions of this chapter. The registry shall not require the listing of
13 a person's Social Security number on any form developed by the registry. Without
14 limiting the generality of the foregoing, the registry shall:
- 15 (a) Develop prescribed forms for the making of the required reports;
- 16 (b) Prepare and publish a manual for all candidates, slates of candidates, and
17 committees, describing the requirements of the law, including uniform
18 methods of bookkeeping and reporting, requirements as to reporting dates, and
19 the length of time that candidates, slates of candidates, and committees are
20 required to keep any records pursuant to the provisions of this chapter;
- 21 (c) Develop a filing, coding, and cross-indexing system;
- 22 (d) Make each report filed available for public inspection and copying during
23 regular office hours at the expense of any person requesting copies of them;
- 24 (e) Preserve all reports for at least six (6) years from the date of receipt. Duly
25 certified reports shall be admissible as evidence in any court in the
26 Commonwealth;
- 27 (f) Prepare and make available for public inspection a summary of all reports

1 grouped according to candidates, slates of candidates, committees,
2 contributing organizations, and parties containing the total receipts and
3 expenditures; and

4 1. For each contribution made by a permanent committee of any amount to
5 a candidate or slate of candidates, the date, name, and business address
6 of the permanent committee, the amount contributed, and a description
7 of the major business, social, or political interest represented by the
8 permanent committee;

9 2. For each contribution in excess of one hundred dollars (\$100) made to a
10 candidate or slate of candidates for a statewide-elected state office, to a
11 campaign committee for a candidate or slate of candidates for a
12 statewide-elected state office, the date, name, address, occupation, and
13 employer of each contributor and the spouse of the contributor or, if the
14 contributor or spouse of the contributor is self-employed, the name
15 under which he is doing business, and the amount contributed by each
16 contributor, listed alphabetically; and

17 3. For each contribution in excess of one hundred dollars (\$100) made to
18 any candidate or campaign committee other than those specified in
19 subparagraph 2., the date, name, address, occupation, and employer of
20 each other contributor or, if the contributor is self-employed, the name
21 under which he is doing business, and the amount contributed by each
22 contributor, listed alphabetically;

23 (g) Prepare and publish an annual report with cumulative compilations named in
24 paragraph (f) of this subsection;

25 (h) Distribute upon request, for a nominal fee, copies of all summaries and
26 reports;

27 (i) Determine whether the required reports have been filed and if so, whether they

1 conform with the requirements of this chapter; give notice to delinquents to
2 correct or explain defections; issue an order, if appropriate, as provided in
3 KRS 121.140; and make public the fact that a violation has occurred and the
4 nature thereof;

5 (j) Conduct random audits of receipts and expenditures of candidates running for
6 city, county, urban-county government, charter county government, and
7 district offices. When the registry audits the records of any selected candidate,
8 it shall also audit the records of all other candidates running for the same
9 office in the selected city, county, urban-county government, charter county
10 government, or district office;

11 (k) Conduct audits of receipts and expenditures of all candidates or slates of
12 candidates running for statewide office;

13 (l) Require that candidates and slates of candidates shall maintain their records
14 for a period of six (6) years from the date of the regular election in their
15 respective political races;

16 (m) Initiate investigations and make investigations with respect to reports upon
17 complaint by any person and initiate proceedings on its own motion; and

18 (n) Forward to the Attorney General or the appropriate Commonwealth's or
19 county attorney any violations of this chapter which may become the subject
20 of civil or criminal prosecution.

21 (5) All policy and enforcement decisions concerning the regulation of campaign finance
22 shall be the ultimate responsibility of the registry. No appointed or elected state
23 officeholder or any other person shall, directly or indirectly, attempt to secure or
24 create privileges, exemptions, or advantages for himself or others in derogation of
25 the public interest at large in a manner that seeks to leave any registry member or
26 employee charged with the enforcement of the campaign finance laws no alternative
27 but to comply with the wishes of the officeholder or person. Registry members and

employees shall be free of obligation or the appearance of obligation to any interest other than the fair and efficient enforcement of the campaign finance laws and administrative regulations. It shall not be considered a violation of this subsection for an officeholder or other person to seek remedies in a court of law to any policy or enforcement decision he considers to be an abridgement of his legal rights.

(6) If adequate and appropriate agency funds are available, the registry shall:

(a) Develop or acquire a system for electronic reporting for use by individuals and entities required to file campaign finance reports with the registry under this chapter. The registry shall promulgate administrative regulations under KRS Chapter 13A which provide for a nonproprietary standardized format or formats, using industry standards, for the transmission of data required under this chapter;

(b) Accept test files from software vendors and persons wishing to file reports electronically for the purpose of determining whether the file format complies with the nonproprietary standardized format developed under paragraph (a) of this subsection and is compatible with the registry's system for receiving the data;

(c) Make all paper or electronic reports filed with the registry pertaining to candidates for the General Assembly and statewide office available on the Internet free of charge, in an easily understood format that allows the public to browse, search, and download the data contained in the reports by each of the reporting categories required by this chapter, including, but not limited to:

1. The name of each candidate or committee;
2. The office sought by each candidate;
3. The name of each contributor;
4. The address of each contributor;
5. The employer or business occupation of each contributor, or if the

contributor is a permanent committee, a description of the major business, social, or political interest represented by the permanent committee;

6. The date of each contribution; and

7. The amount of each contribution.

(d) Make all data specified in paragraph (c) of this subsection available on the Internet no later than ten (10) business days after its receipt by the registry. If a contribution or expenditure report is filed late with the registry, that data shall be made available on the Internet within twenty-four (24) hours of the registry's receipt of the data;

(e) Make filer-side software available free of charge to all individuals or entities subject to the reporting requirements of this chapter;

(f) Establish a training program on the electronic reporting program and make it available free of charge to all individuals and entities subject to the reporting requirements of this chapter; ~~and~~

(g) Maintain all campaign finance data pertaining to legislative and statewide candidates on-line for twenty (20) years after the date the report containing the data is filed, and then archive the data in a secure format; and

(h) Require candidates and slates of candidates running for statewide office, and campaign committees of candidates and slates of candidates registered to run for statewide office, beginning with elections scheduled in 2015, to electronically report all election finance reports that must be submitted to the registry under this chapter. If any statewide candidate, slate of candidates, or campaign committee of a statewide candidate or slate of candidates submits an election finance report in a nonelectronic format for an election scheduled in 2015 or later, the registry shall require the statewide candidate, slate of candidates, or campaign committee of the

statewide candidate or slate of candidates to resubmit the election finance report in an electronic format the first time that entity files an electronic report for that election.

(7) In conjunction with the program of electronic reporting set out in subsection (6) of this section, the registry shall deem an electronic report to be filed when submitted by either of the following methods~~[may]~~:

- (a) On-line Internet transmission~~[Develop or acquire a computer system that provides for on-line Internet submission of the reports required by this chapter utilizing security procedures to ensure the integrity of the data transmitted];~~
or~~[and]~~
- (b) Delivery by mail or hand delivery of the electronic report saved on optical or magnetic disk~~[Provide procedures for verifying electronic signatures placed upon reports under this chapter].~~

➔ Section 2. KRS 121.180 is amended to read as follows:

- (1) (a) Any candidate, slate of candidates, or political issues committee shall be exempt from filing any preelection finance reports required by subsection (3) of this section if the candidate, slate of candidates, or political issues committee chairman files a form prescribed and furnished by the registry stating that contributions will not be accepted or expended in excess of three thousand dollars (\$3,000) in any one (1) election to further the candidacy or to support or oppose a constitutional amendment or public question which will appear on the ballot. For a candidate for judicial office who desires to be exempt from filing preelection campaign finance reports as provided in this paragraph, the request for exemption shall be filed by the campaign treasurer of the candidate's campaign committee, but the candidate shall be personally liable for any violation if the campaign treasurer accepts contributions or makes expenditures in excess of the limit and shall be subject to the same

1 penalties as a candidate as provided in subparagraph (l)1. or 2. of this
2 subsection. A separate form shall be required for each primary, regular, or
3 special election in which the candidate or slate of candidates participates or in
4 which the public question appears on the ballot, unless the candidate, slate of
5 candidates, or political issues committee chairman indicates on a request for
6 exemption that the request will be applicable to more than one (1) election.
7 The form shall be filed with the same office with which a candidate or slate of
8 candidates files nomination papers or, in the case of a political issues
9 committee, with the registry.

- 10 (b) Any candidate, slate of candidates, or political issues committee shall be
11 exempt from filing any campaign finance reports required by subsections (3)
12 and (4) of this section if the candidate, slate of candidates, or political issues
13 committee chairman files a form prescribed and furnished by the registry
14 stating that currently no contributions have been received and that
15 contributions will not be accepted or expended in excess of one thousand
16 dollars (\$1,000) in any one (1) election. For a candidate for judicial office who
17 desires to be exempt from filing any campaign finance reports as provided in
18 this paragraph, the request for exemption shall be filed by the campaign
19 treasurer of the candidate's campaign committee, but the candidate shall be
20 personally liable for any violation if the campaign treasurer accepts
21 contributions or makes expenditures in excess of the limit and shall be subject
22 to the same penalties as a candidate as provided in subparagraph (l)1. or 2. of
23 this subsection. A separate form shall be required for each primary, regular, or
24 special election in which the candidate or slate of candidates participates or in
25 which the public question appears on the ballot, unless the candidate, slate of
26 candidates, or political issues committee chairman indicates on a request for
27 exemption that the request will be applicable to more than one (1) election.

1 The form shall be filed with the same office with which a candidate or slate of
2 candidates files nomination papers or, in the case of a political issues
3 committee, with the registry.

- 4 (c) For a primary election, a candidate or slate of candidates shall file a request
5 for exemption not later than the deadline for filing nomination papers and,
6 except as provided in subparagraph 2. of paragraph (d) of this subsection,
7 shall be bound by its terms unless it is rescinded in writing not later than
8 fifteen (15) days after the filing deadline. For a regular election, a candidate or
9 slate of candidates shall file or rescind in writing a request for exemption not
10 later than twenty-five (25) days after the date of the preceding primary
11 election, except as provided in subparagraph 2. of paragraph (d) of this
12 subsection. For a special election, a candidate or slate of candidates shall file a
13 request for exemption not later than ten (10) days after the candidate or slate
14 of candidates is nominated for a special election and shall be bound by its
15 terms unless it is rescinded in writing not later than twenty-five (25) days after
16 the date on which the nomination for a special election is made. A political
17 issues committee chairman shall file a request for exemption not later than ten
18 (10) days after the date on which the committee registers with the registry and
19 shall be bound by its terms unless it is rescinded in writing not later than
20 fifteen (15) days after the date on which the request for exemption is filed.

- 21 (d) 1. A candidate or slate of candidates that revokes a request for exemption
22 in a timely manner may exercise the remaining option or may file all
23 reports required of a candidate intending to raise or spend in excess of
24 three thousand dollars (\$3,000) in an election. If a candidate or slate of
25 candidates elects to exercise a different option, the candidate or slate of
26 candidates shall file the appropriate form with the officer who received
27 the filing papers of the candidate or slate of candidates not later than the

1 deadline for filing a revocation.

2 2. A candidate for any city or county office or for any school board office,
3 who is exempted from some or all campaign finance reporting
4 requirements pursuant to paragraph (a) or (b) of this subsection but who
5 accepts contributions or makes expenditures in excess of the exempted
6 amount in an election, shall file all applicable reports required for the
7 remainder of that election, based upon the amount of contributions or
8 expenditures the candidate accepts or receives in that election. The filing
9 of applicable required reports by a candidate after the exempted amount
10 is exceeded shall serve as notice to the registry that the initial exemption
11 has been rescinded. No further notice to the registry shall be required
12 and no penalty for exceeding the initial exempted amount shall be
13 imposed against the candidate, except for failure to file applicable
14 reports required after the exempted amount is exceeded.

15 (e) Any candidate or slate of candidates that is subject to an August filing
16 deadline and that intends to execute a request for exemption shall file the
17 appropriate request for exemption not later than the filing deadline and, except
18 as provided in subparagraph 2. of paragraph (d) of this subsection, shall be
19 bound by its terms unless it is rescinded in writing not later than fifteen (15)
20 days after the filing deadline. A candidate or slate of candidates that is covered
21 by this paragraph shall have the same reversion rights as those provided in
22 subparagraph 1. of paragraph (d) of this subsection.

23 (f) Any candidate or slate of candidates that will appear on the ballot in a regular
24 election that has signed either request for exemption for that election may
25 exercise the reversion rights provided in subparagraph 1. of paragraph (d) of
26 this subsection if a candidate or slate of candidates that is subject to an August
27 filing deadline subsequently files in opposition to the candidate or slate of

1 candidates. Except as provided in subparagraph 2. of paragraph (d) of this
2 subsection, a candidate or slate of candidates covered by this paragraph shall
3 comply with the deadline for rescission provided in subparagraph 1. of
4 paragraph (d) of this subsection.

5 (g) Except as provided in subparagraph 2. of paragraph (d) of this subsection, any
6 candidate or slate of candidates that has filed a request for exemption for a
7 regular election that later is opposed by a person who has filed a declaration of
8 intent to receive write-in votes may rescind the request for exemption and
9 exercise the reversion rights provided in subparagraph 1. of paragraph (d) of
10 this subsection.

11 (h) Any candidate or slate of candidates that has filed a request for exemption
12 may petition the registry to determine whether another person is campaigning
13 as a write-in candidate prior to having filed a declaration of intent to receive
14 write-in votes, and, if the registry determines upon a preponderance of the
15 evidence that a person who may later be a write-in candidate is conducting a
16 campaign, the candidate or slate of candidates, except as provided in
17 subparagraph 2. of paragraph (d) of this subsection, may petition the registry
18 to permit the candidate or slate of candidates to exercise the reversion rights
19 provided in subparagraph 1. of paragraph (d) of this subsection.

20 (i) If the opponent of a candidate or slate of candidates is replaced due to his
21 withdrawal because of death, disability, or disqualification, the candidate or
22 slate of candidates, except as provided in subparagraph 2. of paragraph (d) of
23 this subsection, may exercise the reversion rights provided in subparagraph 1.
24 of paragraph (d) of this subsection not later than fifteen (15) days after the
25 party executive committee nominates a replacement for the withdrawn
26 candidate or slate of candidates.

27 (j) A person intending to be a write-in candidate for any office in a regular or

special election may execute a request for exemption under paragraph (a) or (b) of this subsection and shall be bound by its terms unless it is rescinded in writing not later than fifteen (15) days after the filing deadline for the regular or special election. A person intending to be a write-in candidate who revokes a request for exemption in a timely manner may exercise the remaining exemption option or may file all reports required of a candidate intending to raise or spend in excess of three thousand dollars (\$3,000) in an election. Except as provided in subparagraph 2. of paragraph (d) of this subsection, a person intending to be a write-in candidate who elects to exercise a different exemption option shall file the appropriate form with the officer who received the initial request for exemption not later than fifteen (15) days after the filing deadline for the regular or special election.

(k) Except as provided in subparagraph 2. of paragraph (d) of this subsection, the campaign committee of any candidate or slate of candidates that has filed a request for exemption or a political issues committee whose chairman has filed a request for exemption shall be bound by its terms unless it is rescinded in a timely manner and no new request for exemption has been executed.

(l) 1. Except as provided in subparagraph 2. of paragraph (d) of this subsection, any candidate, slate of candidates, or political issues committee that is exempt from filing campaign finance reports pursuant to paragraph (a), (b), (e), or (j) of this subsection that accepts contributions or makes expenditures, or whose campaign treasurer accepts contributions or makes expenditures, in excess of the applicable limit in any one (1) election without rescinding the request for exemption in a timely manner shall comply with all applicable reporting requirements and, in lieu of other penalties prescribed by law, pay a fine of not more than five hundred dollars (\$500) plus the amount by which

1 the spending limit was exceeded.

2 2. Except as provided in subparagraph 2. of paragraph (d) of this
3 subsection, a candidate, slate of candidates, campaign committee, or
4 political issues committee that is exempt from filing campaign finance
5 reports pursuant to paragraph (a), (b), (e), or (j) of this subsection that
6 knowingly accepts contributions or makes expenditures in excess of the
7 applicable spending limit in any one (1) election without rescinding the
8 request for exemption in a timely manner shall comply with all
9 applicable reporting requirements and shall be guilty of a Class D
10 felony.

11 (2) (a) State and county executive committees, and caucus campaign committees
12 shall make a full report, upon a prescribed form, to the registry, of all money,
13 loans, or other things of value, received from any source, and expenditures
14 authorized, incurred, or made, since the date of the last report, including:

- 15 1. For each contribution of any amount made by a permanent committee,
16 the name and business address of the permanent committee, the date of
17 the contribution, the amount contributed, and a description of the major
18 business, social, or political interest represented by the permanent
19 committee;
- 20 2. For other contributions in excess of one hundred dollars (\$100), the full
21 name, address, age if less than the legal voting age, the date of the
22 contribution, the amount of the contribution, and the employer and
23 occupation of each contributor. If the contributor is self-employed, the
24 name under which he is doing business shall be listed;
- 25 3. The total amount of cash contributions received during the reporting
26 period; and
- 27 4. A complete statement of expenditures authorized, incurred, or made.

1 The complete statement of expenditures shall include the name and
2 address of each person to whom an expenditure is made in excess of
3 twenty-five dollars (\$25), and the amount, date, and purpose of each
4 expenditure.

5 (b) This report shall be in the hands of the registry or postmarked within five (5)
6 days after the thirtieth day following the primary and regular elections. If an
7 individual gives a reportable contribution to a caucus campaign committee or
8 to a state or county executive committee with the intention that the
9 contribution or a portion of the contribution go to a candidate or slate of
10 candidates, the name of the contributor and the sum shall be indicated on the
11 committee report. The receipts and expenditures of funds remitted to each
12 political party under KRS 141.071 to 141.073 shall be separately accounted
13 for and reported to the registry in the manner required by KRS 121.230. The
14 separate report may be made a separate section within the report required, by
15 this subsection, to be in the hands of the registry or postmarked within five (5)
16 days after the thirtieth day following each regular election.

17 (3) (a) Except for candidates or slates of candidates, campaign committees, or
18 political issues committees exempted from reporting requirements pursuant to
19 subsection (1) of this section, each campaign treasurer of a candidate, slate of
20 candidates, campaign committee, or political issues committee who accepts
21 contributions or expends, expects to accept contributions or expend, or
22 contracts to expend more than three thousand dollars (\$3,000) in any one (1)
23 election, and each fundraiser who secures contributions in excess of three
24 thousand dollars (\$3,000) in any one (1) election, shall make a full report to
25 the registry, on a form provided or using a format approved by the registry, of
26 all money, loans, or other things of value, received from any source, and
27 expenditures authorized, incurred, and made, since the date of the last report,

1 including:

- 2 1. For each contribution of any amount made by a permanent committee,
3 the name and business address of the permanent committee, the date of
4 the contribution, the amount contributed, and a description of the major
5 business, social, or political interest represented by the permanent
6 committee;
- 7 2. For each contribution in excess of one hundred dollars (\$100) made to a
8 candidate or slate of candidates for a statewide-elected state office, or to
9 a campaign committee for a candidate or slate of candidates for a
10 statewide-elected state office, the date, name, address, occupation, and
11 employer of each contributor and the spouse of the contributor or, if the
12 contributor or spouse of the contributor is self-employed, the name
13 under which he is doing business, and the amount contributed by each
14 contributor; and
- 15 3. For each contribution in excess of one hundred dollars (\$100) made to
16 any candidate or campaign committee other than those specified in
17 subparagraph 2. or a political issues committee, the full name, address,
18 age if less than the legal voting age, the date of the contribution, the
19 amount of the contribution, and the employer and occupation of each
20 other contributor. If the contributor is self-employed, the name under
21 which he is doing business shall be listed;
- 22 4. The total amount of cash contributions received during the reporting
23 period; and
- 24 5. A complete statement of all expenditures authorized, incurred, or made.
25 The complete statement of expenditures shall include the name, address,
26 and occupation of each person to whom an expenditure is made in
27 excess of twenty-five dollars (\$25), and the amount, date, and purpose of

1 each expenditure.

2 (b) Reports of all candidates, slates of candidates, campaign committees, political
3 issues committees, and registered fundraisers shall be made as follows:

4 1. Candidates as defined in KRS 121.015(8), slates of candidates,
5 campaign committees, political issues committees, and fundraisers
6 which register in the year before the year an election in which the
7 candidate, a slate of candidates, or public question shall appear on the
8 ballot, shall file financial reports with the registry at the end of the first
9 calendar quarter after persons become candidates or slates of candidates,
10 or following registration of the committee or fundraiser, and each
11 calendar quarter thereafter, ending with the last calendar quarter of that
12 year. Candidates, slates of candidates, committees, and registered
13 fundraisers shall make all reports required by this section during the year
14 in which the election takes place;

15 2. All candidates, slates of candidates, campaign committees, political
16 issues committees, and registered fundraisers shall make reports on the
17 thirty-second day preceding an election, including all previous
18 contributions and expenditures;

19 3. All candidates, slates of candidates, campaign committees, political
20 issues committees, and registered fundraisers shall make reports on the
21 fifteenth day preceding the date of the election; and

22 4. All reports to the registry shall be received by the registry or postmarked
23 within five (5) days after each filing deadline.

24 (4) Except for candidates, slates of candidates, and political issues committees,
25 exempted pursuant to subsection (1)(b) of this section, all candidates, regardless of
26 funds received or expended, campaign committees, political issues committees, and
27 registered fundraisers shall make post-election reports within thirty (30) days after

1 the election.

2 (5) In making the preceding reports, the total gross receipts from each of the following
3 categories shall be listed: proceeds from the sale of tickets for events such as
4 testimonial affairs, dinners, luncheons, rallies, and similar fundraising events, mass
5 collections made at the events, and sales of items such as campaign pins, buttons,
6 hats, ties, literature, and similar materials. When any individual purchase or the
7 aggregate purchases of any item enumerated above from a candidate or slate of
8 candidates for a statewide-elected state office or a campaign committee for a
9 candidate or slate of candidates for a statewide-elected state office exceeds one
10 hundred dollars (\$100), the purchaser shall be identified by name, address, age, if
11 less than the legal voting age, occupation, and employer and the employer of the
12 spouse of the purchaser or, if the purchaser or the spouse of the purchaser is self-
13 employed, the name under which he is doing business, and the amount of the
14 purchase. When any individual purchase or the aggregate purchases of any item
15 enumerated above from any candidate or campaign committee other than a
16 candidate or slate of candidates for a statewide-elected state office or campaign
17 committee for a candidate or slate of candidates for a statewide-elected state office
18 exceeds one hundred dollars (\$100), the purchaser shall be identified by name,
19 address, age if less than the legal voting age, occupation, and employer, or if the
20 purchaser is self-employed, the name under which he is doing business, and the
21 amount of the purchase. The lists shall be maintained by the campaign treasurer,
22 political issues committee treasurer, registered fundraiser, or other sponsor for
23 inspection by the registry for six (6) years following the date of the election.

24 (6) Each permanent committee, except a federally registered out-of-state permanent
25 committee, inaugural committee, or contributing organization shall make a full
26 report to the registry, on a form provided or using a format approved by the registry,
27 of all money, loans, or other things of value, received by it from any source, and all

1 expenditures authorized, incurred, or made, since the date of the last report,
2 including:

3 (a) For each contribution of any amount made by a permanent committee, the
4 name and business address of the permanent committee, the date of the
5 contribution, the amount contributed, and a description of the major business,
6 social, or political interest represented by the permanent committee;

7 (b) For other contributions in excess of one hundred dollars (\$100), the full name,
8 address, age if under the legal voting age, the date of the contribution, the
9 amount of the contribution, and the employer and occupation of each
10 contributor. If the contributor is self-employed, the name under which he is
11 doing business shall be listed;

12 (c) An aggregate amount of cash contributions, the amount contributed by each
13 contributor, and the date of each contribution; and

14 (d) A complete statement of all expenditures authorized, incurred, or made,
15 including independent expenditures. This report shall be made by a permanent
16 committee, inaugural committee, or contributing organization to the registry
17 on the last day of the first calendar quarter following the registration of the
18 committee with the registry and on the last day of each succeeding calendar
19 quarter until such time as the committee terminates. A contributing
20 organization shall file a report of contributions received and expenditures on a
21 form provided or using a format approved by the registry not later than the last
22 day of each calendar quarter in which contributions are received or
23 expenditures are made. All reports to the registry shall be postmarked or
24 received not later than five (5) days after each filing deadline.

25 (7) If the final statement of a candidate, campaign committee, or political issues
26 committee shows an unexpended balance of contributions, continuing debts and
27 obligations, or an expenditure deficit, the campaign treasurer shall file with the

1 registry a supplemental statement of contributions and expenditures not more than
2 thirty (30) days after the deadline for filing the final statement. Subsequent
3 supplemental statements shall be filed annually, to be received by the registry or
4 postmarked not later than ten (10) days after November 1 of each year, until the
5 account shows no unexpended balance, continuing debts and obligations,
6 expenditures, or deficit, or until the year before the candidate or a slate of
7 candidates seeks to appear on the ballot for the same office for which the funds in
8 the campaign account were originally contributed, in which case the candidate or a
9 slate of candidates shall file the supplemental annual report not later than ten (10)
10 days after November 1 of that year or at the end of the first calendar quarter of that
11 year after the candidate or slate of candidates files nomination papers for the next
12 year's primary or regular election. All contributions shall be subject to KRS
13 121.150.

14 (8) All reports filed under the provisions of this chapter shall be a matter of public
15 record open to inspection by any member of the public immediately upon receipt of
16 the report by the registry. A duplicate paper copy of each report filed either on paper
17 or electronically with the registry shall be filed by the candidate, slate of candidates,
18 or committee with the county clerk in the county in which the candidate or persons
19 running as a slate of candidates reside at the same time. County clerks shall
20 maintain these reports for public inspection for a period of one (1) year from the
21 date the last report is required to be filed.

22 (9) A candidate or slate of candidates is relieved of the duty personally to file reports
23 and keep records of receipts and expenditures if the candidate or slate states in
24 writing or on forms provided by the registry that:

25 (a) Within five (5) business days after personally receiving any contributions, the
26 candidate or slate of candidates shall surrender possession of the contributions
27 to the treasurer of their principal campaign committee without expending any

1 of the proceeds thereof. No contributions shall be commingled with the
2 candidate's or slated candidates' personal funds or accounts. Contributions
3 received by check, money order, or other written instrument shall be endorsed
4 directly to the campaign committee and shall not be cashed or redeemed by
5 the candidate;

6 (b) The candidate or slate of candidates shall not make any unreimbursed
7 expenditure for the campaign, except that this paragraph does not preclude a
8 candidate or slate from making an expenditure from personal funds to the
9 designated principal campaign committee, which shall be reported by the
10 committee as a contribution received; and

11 (c) The waiver shall continue in effect as long as the candidate or slate of
12 candidates complies with the conditions under which it was granted.

13 (10) No candidate, slate of candidates, campaign committee, political issues committee,
14 or contributing organization shall use or permit the use of contributions or funds
15 solicited or received for the person or in support of or opposition to a public issue
16 which will appear on the ballot to further the candidacy of the person for a different
17 public office, to support or oppose a different public issue, or to further the
18 candidacy of any other person for public office; except that nothing in this
19 subsection shall be deemed to prohibit a candidate or slate of candidates from using
20 funds in the campaign account to purchase admission tickets for any fundraising
21 event or testimonial affair for another candidate or slate of candidates if the amount
22 of the purchase does not exceed one hundred dollars (\$100) per event or affair. Any
23 funds or contributions solicited or received by or on behalf of a candidate, slate of
24 candidates, or any committee, which has been organized in whole or in part to
25 further any candidacy for the same person or to support or oppose the same public
26 issue, shall be deemed to have been solicited or received for the current candidacy
27 or for the election on the public issue if the funds or contributions are solicited or

received at any time prior to the regular election for which the candidate, slate of candidates, or public issue is on the ballot. Any unexpended balance of funds not otherwise obligated for the payment of expenses incurred to further a political issue or the candidacy of a person shall, in whole or in part, at the election of the candidate or committee, escheat to the State Treasury, be returned pro rata to all contributors, or, in the case of a partisan candidate, be transferred to a caucus campaign committee, or to the state or county executive committee of the political party of which the candidate is a member except that a candidate, committee, or an official may retain the funds to further the same public issue or to seek election to the same office or may donate the funds to any charitable, nonprofit, or educational institution recognized under Section 501(c)(3) of the United States Internal Revenue Code of 1986, as amended, and any successor thereto.

(11) (a) For the purposes of this subsection, "election cycle," as applied to contributions, expenditures, or loans to support or oppose a candidate for a particular office, means the period of time beginning January 1 following a regular election for the office and ending December 31 following the next regular election for that office.

(b) For the purpose of this subsection, "election cycle," as applied to contributions, expenditures, or loans to support or oppose a constitutional amendment or public question which appears on the ballot, means the period of time beginning January 1 following a regular election for any state legislative office and ending December 31 following the next regular election for any state legislative office.

(c) If adequate and appropriate agency funds are available to implement this subsection, ~~beginning on January 1, 2002,~~ the option of electronic reporting shall be made available by the registry to all candidates, committees, registered fundraisers, and persons making independent expenditures, in

addition to those candidates, slates of candidates, and campaign committees that are required to electronically report under subsection (6)(h) of Section 1 of this Act ~~for all of the following:~~

- ~~1. Candidates for statewide office and slates of candidates that during the election cycle receive contributions or loans in the aggregate of twenty-five thousand dollars (\$25,000) or more, or at any time have a balance in a campaign account or accounts in the aggregate of twenty-five thousand dollars (\$25,000) or more;~~
- ~~2. Candidates for the General Assembly that during the election cycle receive contributions or loans in the aggregate of twelve thousand five hundred dollars (\$12,500), or at any time have a balance in an aggregate of twelve thousand five hundred dollars (\$12,500) or more; and~~
- ~~3. Campaign committees, political issues committees, permanent committees, registered fundraisers, contributing organizations, and individuals and entities making independent expenditures that during the election cycle receive contributions or loans in an aggregate of twenty-five thousand dollars (\$25,000) or more, make expenditures in an aggregate of twenty-five thousand dollars (\$25,000) or more, or at any time have a balance in an aggregate of twenty-five thousand dollars (\$25,000) or more].~~

(12) Filers specified in subsection (11) of this section shall also continue to file required campaign finance reports in paper format until the registry deems it is no longer necessary. The paper copy shall continue to be the official version for audit and other legal purposes.

(13) Filers not required to file reports electronically, as set forth in this section, are strongly encouraged to do so voluntarily.

(14) The date that an electronic or on-line report shall be deemed to have been filed with

1 the registry shall be the date on which it is received by the registry.

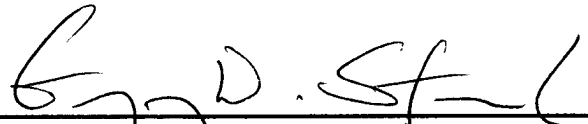
2 (15) All electronic or on-line filers shall affirm, under penalty of perjury, that the report
3 filed with the registry is complete and accurate.

4 (16) Filers who submit computer disks which are not readable, cannot be copied, or are
5 not accompanied by any requisite paper copy shall be deemed to not be in
6 compliance with the requirements set forth in this section.

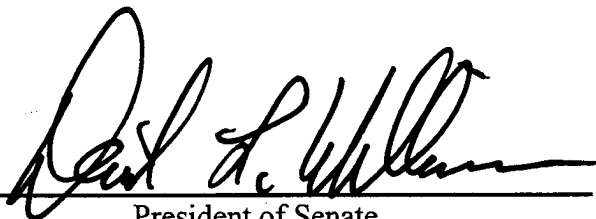
7 (17) No candidate is obligated to file any reports electronically, except for those
8 candidates, slates of candidates, and campaign committees that are required to
9 electronically report under subsection (6)(h) of Section 1 of this Act.

10 (18) (a) On each paper and electronic form that it supplies for the reports required
11 under subsections (2), (3), and (6) of this section, the registry shall include an
12 entry reading, "No change since last report."


13 (b) If a person or entity that is required to report under subsection (2), (3), or (6)
14 of this section has received no money, loans, or other things of value from any
15 source since the date of its last report and has not authorized, incurred, or
16 made any expenditures since that date, the person or entity may check or
17 otherwise designate the entry that reads, "No change since last report." A
18 person or entity designating this entry in a report shall state the balance carried
19 forward from the last report but need not specify receipts or expenditures in
20 further detail.



Speaker House of Representatives



President of Senate

Attest: 

Chief Clerk of House of Representatives

Approved 

Governor

Date 4-11-12